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# FACSIMILE TRANSMISSION

## Total # of Pages 7 (including this page)

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From: Stephen E. Reiter 55

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Date: February 28, 2005

Client/Matter No: SALK3130-1 (088802-9702)

User ID No: 1877

### MESSAGE:

U.S. Patent Application No. 10/658,115 · Re:

## Following are:

- 1) Request for Reconsideration of Decision Dismissing Petition Under 37 CFR 1.47(a) (2 pgs.)
- 2) Declaration of Facts in Support of Petition Under 37 C.F.R. § 1.47 (2 pgs.)
- 3) Copy of letter dated April 6, 2004 (2 pgs.)

If there are any problems with this transmission or if you have not received all of the pages, please call 858.847.6700.

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<b>4 1 1 1 1 1 1 1 1 1 1</b>		Mercedes F. "Ched" Dipasupil

CONFIDENTIALITY NOTICE: THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE THE RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL, THANK YOU.

Atty. Dkt. No.SALK3130-1 (088802-9702)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Downes et al.

Title:

NON-STEROIDAL FARNESOID

X RECEPTOR MODULATORS
AND METHODS FOR THE USE

THEREFOR

Appl. No.:

10/658,115

Filing Date:

09/08/2003

Examiner:

Unknown

Art Unit:

1645

# CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, Virginia on the date below. Stephen E. Reiter, Reg. No. 31,192 (Friated Name) (Signature) February 28, 2005 (Date of Deposit)

# REQUEST FOR RECONSIDERATION OF DECISION DISMISSING PETITION UNDER 37 CFR 1.47(a)

Mail Stop PETITION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED
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Attention:

E. Shirene Willis

Senior Petitions Attorney

Office of Petitions

Sir:

Applicants hereby request reconsideration of the Decision Refusing Status Under 37 C.F.R. 1.47(a) mailed December 30, 2004. Specifically, Applicants hereby submit a supplemental Declaration of Facts in Support of Petition Under 37 C.F.R § 1.47, along with a copy of the letter from counsel for the non-signing inventors (dated April 6, 2004) stating that "[w]e are not able to provide the signed documents requested..."

As detailed in the attached supplemental Declaration of Facts in Support of Petition
Under 37 C.F.R § 1.47 from Attorney Sheila R. Kirschenbaum, in-house counsel for Applicants
Downes and Evans, a copy of the application as filed and the formal papers for signature were

Atty. Dkt. No.SALK3130-1 (088802-9702)

In re application of

Downes et al.

Application No.: 10/658,115

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separately provided for signature to Attorney Thomas Fitting, the legal representative for inventors Robert Hughes, K.C. Nicolau and Anthony J. Roecker. However, in a letter to Attorney Kirschenbaum's assistant (Ms. Judith Best) dated April 6, 2004 (copy attached to the Declaration), while acknowledging receipt of the letter dated January 29, 2004, from The Salk Institute for Biological Studies, Dr. Fitting advised that the Declaration for Patent Application would not be signed by the inventors (see the second paragraph of the subject letter). Thus, in spite of Applicants' repeated request for return of the executed documents, no reply has been

Based on the foregoing, it is submitted that Applicants have satisfied the requirements of 37 C.F.R. §1.47(a).

received from any of inventors Robert Hughes, K.C. Nicolau and Anthony J. Roecker.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date

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Stephen E. Reiter

Attorney for Applicant Registration No. 31,192

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**PATENT** 

Attorney Docket No.: SALK3130-1

(088802-9702)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Downes et al.

Application No.:

10/658,115

Filing Date:

September 8, 2003

For:

NON-STEROIDAL FARNESOID X

RECEPTOR MODULATORS AND

METHODS FOR THE USE THEREFOR

Group Art Unit: 1645

Examiner: Unknown

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Washington, D.C., on

the date below.

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

## DECLARATION OF FACTS IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47

- I, Sheila R. Kirschenbaum, declare that:
- I, Sheila R. Kirschenbaum am Patent Counsel to Applicant, the Salk Institute for 1. Biological Studies located in La Jolla, CA.
- 2. Inventors Michael Downes and Ronald Evans were employed by the Salk Institute for Biological Studies at the time of conception of the invention. A Declaration by Inventor, executed by Drs. Downes and Evans was submitted on June 23, 2004 to the United States Patent and Trademark Office.
- 3. Inventors Robert Hughes, K.C. Nicolaou and Anthony J. Roecker were employed by The Scripps Research Institute located in La Jolla, CA at the time of conception of the invention.

In re Application of: Downes et al. Application No.: 10/658,115

Filing Date: September 8, 2003

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PATENT

Attorney Docket No.: SALK3130-1

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- 4. Thomas Fitting, Esq., Ph.D.is Chief Patent Counsel to The Scripps Research Institute, and is the legal representative of Messrs. Hughes, Nicolaou and Roecker with respect to patent matters.
- 5. A Declaration by Inventor for signature by Messrs. Hughes, Nicolaou and Roecker in United States Application No. 10/658,115 along with copies of the application were sent to Dr. Fitting, Esq. via U.S. Postal Service on January 29, 2004. Dr. Fitting, as legal representative of Messrs. Hughes, Nicolaou and Roecker, was informed in an accompanying letter of the requirement that each inventor named on United States Application No. 10/658,115, including Messrs. Hughes, Nicolaou and Roecker, execute a Declaration by Inventor.
- 6. No Declaration in United States Application No. 10/658,115, signed by Messrs. Hughes Nicolaou or Roecker was received in response to the request described in point 3.
- 7. Dr. Fitting, Esq., in a letter dated April 6, 2004 (a copy of which is attached herewith as Exhibit A), acknowledged receipt of Salk's letter dated January 29, 2004 requesting signatures on a Declaration by Inventors (see first paragraph). Dr. Fitting, Esq. informed Salk that he would not provide Salk with the requested executed Declaration by Inventors (see second paragraph).

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date 10 February 2005

Shark R. Kusebb-

Patent Counsel,

The Salk Institute

Thomas Fitting, Esq., Ph.D. Chief Patent Counsel



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10550 North Torrey Pines Road La Jolla, California 92037 mail TPC-8 tel 858 784 2937 fax 858 784 9399 e-mail: fitting@scripps.edu

April 6, 2004

Ms. Judith A. Best The Salk Institute 10010 N. Torrey Pines Road La Jolla, CA 92037



Re:

U.S. Patent Application No. 10/658,115, and September 15. International Patent Application No. US03/36123 & Carrier 15. TSRI Case No. 918.0P

Dear Ms. Best:

I have received your letter dated January 29, 2004, regarding the above-identified patent applications. In that letter you request that we obtain and provide signed formal documents in support of these applications, including Inventors' Declarations, Assignments and Power of Attorney documents.

We are not able to provide the signed documents requested because we are currently in disagreement with the Salk Institute regarding inventorship on the subject matter of the above-identified US application. Further, for the PCT application we cannot sign at least because we do not know what is being claimed in the PCT case and therefore cannot determine whether the documents are appropriate. You did not provide a copy of the specification for the PCT application. Please send copies of all formal correspondence relating to the PCT application if you want to pursue the PCT matter further.

In addition, you should note that The Scripps Research Institute (TSRI) filed International Application No. PCT/US03/36195 that relates to the some of the same technology described in the above identified U.S. patent application. A copy of the PCT application filed by TSRI is enclosed for your information.

Notwithstanding the very helpful meeting held in February between TSRI and Salk to talk with the collaborators in this project, we believe that inventorship designated on - PCT/US03/36195 is correct. Therefore, if you wish to pursue this matter, TSRI repeats its earlier requests to Dr. Silberstein that Salk consider using independent and mutually agreeable outside counsel to meet with the parties and make an independent assessment of inventorship in this matter.

 $\langle \cdot \rangle$ 

Judith Munoz, Ph.D. April 6, 2004

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**TSRI 918.0P** 

Please do not hesitate to contact me if you have any questions in this matter.

Very truly yours,

Thomas Fitting

TF:amf Enclosures

cc:

Gary Silberstain, Ph.D., Esq. (w/o encl.)

Sheila Kirschenbaum (w/o encl.)

Thomas Northrup, Esq., Associate General Counsel, TSRI (w/o encl.)

P:\AR(EL\2004\MISC\918.0P.Judith